



Attorney's Docket No. 42390P11429

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Liu et al

Application No.: 09/881,218

Filed: June 13, 2001

For: METHOD AND APPARATUS FOR)
TUNING A BRAGG GRATING IN A)
SEMICONDUCTOR SUBSTRATE)

Examiner: Pritchett, Joshua L.

Art Unit: 2872

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 8, 2004



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)



Complete if Known

Application Number	09/881,218
Filing Date	June 13, 2001
First Named Inventor:	Liu et al.
Art Unit	2872
Examiner Name	Pritchett, Joshua L.
Attorney Docket Number	42390P11429

Sheet 1 of

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1.	US-	4,518,219	05-21-1985	Leonberger et al.	
	2.	US-	5,082,342	01-21-1992	Wight et al.	
	3.	US-	5,379,318	01-03-1995	Weber	
	4.	US-	6,014,480	01-11-2000	Baney	
	5.	US-	6,268,953 B1	07-31-2001	Maloney	
	6.	US-	6,438,277 B1	08-20-2002	Eggleton et al.	
	7.	US-	2003/0013438 A1	01-16-2003	Darby	
	8.	US-	6,628,450 B2	09-30-2003	Samara-Rubio	
	9.	US-	6,661,937 B2	12-09-2003	Sobiski et al.	
		US-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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